



IFW

Docket No.: 0091-0250PUS1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Fredrik GUNNARSSON

Application No.: 10/565,163

Confirmation No.: 7302

Filed: January 19, 2006

Art Unit: 2615

For: AN AUDIO STEREO PROCESSING
METHOD, DEVICE AND SYSTEM

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL
REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE
1.114 RCE APPLICATION)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

II. COPIES

- ☒ a. Copies of cited U.S. patents and patent application publications are not included.
Copies of foreign patent documents and non-patent literature are included.

☐ b. Some or all of the documents listed on the PTO-SB08 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

☐ c. REFERENCES PREVIOUSLY CITED OR SUBMITTED - Pursuant to 37 C.F.R. §1.98(d), consideration of information listed on the PTO-SB08 form(s) is requested since any patents, publications, or other information which are listed on the PTO-SB08 form(s) but for which copies are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Appl. No(s) and U.S. Filing Date

filed

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

☒ a. DOCUMENTS IN THE ENGLISH LANGUAGE - The patents, publications, or other information listed on the attached PTO SB08 are in the English language and therefore, do not require a statement of relevancy.

☐ b. DOCUMENTS NOT IN THE ENGLISH LANGUAGE - A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

☐ c. ENGLISH LANGUAGE SEARCH REPORT - An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

☐ d. OTHER - The following additional information is provided for the Examiner's consideration.

IV. FEES (check one box)

☐ a. This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

☐ b. This Information Disclosure Statement is being filed concurrent with the filing of a continuation-in-part, continuation, or divisional patent application; therefore, no fee is required.

☐ c. This Information Disclosure Statement is being filed within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required.
(This section is not to be used with RCE's.)

☐ d. This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or statement is required.

☐ e. This Information Disclosure Statement is being filed concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.

☒ f. This Information Disclosure Statement is being filed before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account for the fee as required by 37 C.F.R. § 1.17(p).

☐ g. This Information Disclosure Statement is being filed before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).

☐ No statement; therefore, a fee as required by 37 C.F.R. § 1.17(p) is attached.

or

☐ See the statement below. No fee is required.

V. STATEMENT UNDER 37 C.F.R. § 1.97(e)

(check only one box)

The undersigned hereby states that:

☐ a. **Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this IDS; or**

☐ b. Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

☐ c. No item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.

☐ d. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VI. PAYMENT OF FEES (check one box)

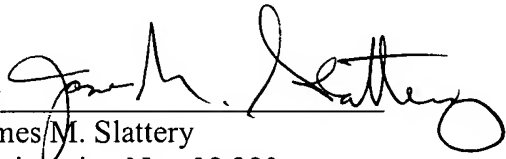
- ☐ The required fee is listed on the attached Fee Transmittal.
- ☒ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: July 5, 2006

Respectfully submitted,

By 
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Attachment(s):

- ☒ PTO-SB08
☒ Documents
☐ Foreign Search Report
☐ Fee
☐ Other:



PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/565,163-Conf. #7302
				Filing Date	January 19, 2006
				First Named Inventor	Fredrik GUNNARSSON
				Art Unit	2615
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	0091-0250PUS1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA*	US-3,892,624-A	07-01-1975	Shimada	
	AB*	US-5,892,830-A	04-06-1999	Klayman	
	AC*	US-5,579,395-A	11-26-1996	Horl	
	AD*	US-5,502,772-A	03-26-1996	Felder	
	AE*	US-5,579,396-A	11-26-1996	IIDA et al.	
	AF*	US-6,731,765-B1	05-04-2004	Sotome	
	AG*	US-2005/0201582-A1	09-15-2005	Hughes, II et al.	
	AH*	US-4,418,243-A	11-29-1983	Fixer	
	AI*	US-5,117,459-A	05-26-1992	McShane	
	AJ*	US-2,836,662-A	05-27-1958	Vanderlyn	
	AK*	US-3,560,656-A	02-02-1971	Gilbert	
	AL*	US-4,069,394-A	01-17-1978	Doi et al.	
	AM*	US-2,093,540-A	09-21-1937	Blumlein	
	AN*	US-5,870,484-A	02-09-1999	Greenberger	
	AO*	US-3,241,631-A	03-22-1966	Manieri	
	AP*	US-4,837,826-A	06-06-1989	Schupbach	
	AQ*	US-2005/0221867-A1	10-06-2005	Zurek et al.	
	AR*	US-4,356,349-A	10-26-1982	Robinson	
	AS*	US-4,149,036-A	04-10-1979	Okamoto, et al.	
	AT*	US-3,970,787-A	07-20-1976	Searle	
	AU*	US-2,845,491-A	07-29-1958	Bertram	
	AV*	US-5,970,153-A	10-19-1999	Petroff	
	AW*	US-5,546,468-A	08-13-1996	Beard	
	AX*	US-4,572,325-A	02-25-1986	Schupbach	
	AY*	US-6,991,289-B2	02-05-2004	House	
	AZ*	US-5,850,454-A	12-15-1998	Hawks	
	AA1*	US-4,819,269-A	04-04-1989	Klayman	
	AB1*	US-2004/0170281-A1	09-02-2004	Nelson	
	AC1*	US-4,349,697-A	09-14-1982	Skabla	
	AD1*	US-5,553,147-A	09-03-1996	Pineau	
	AE1*	US-4,596,034-A	06-17-1986	Moncrieff, Peter J.	
	AF1*	US-4,394,537-A	07-19-1983	Shima et al.	
	AG1*	US-6,169,812-B1	01-02-2001	Miller	
	AH1*	US-5,596,034-A	01-21-1997	Krishnan, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	† ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	WO-97/30566-A1	08-21-1997	NELSON et al.		✓
	BB	EP-0 773 702-A2	05-14-1997	Klayman		✓

Examiner Signature		Date Considered	
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Birch, Stewart, Kolasch & Birch, LLP

JMS/ljr

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
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				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	0091-0250PUS1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	Michael Gayford, "Microphone Engineering Handbook," ISBN 07506 1199 5, pages 395-396.	
	CB	Timothy M. Bock, Crown International, Inc., Elkhart, Indiana, and D. (Don) B. Keele, Jr., Techron, Division of Crown International, Inc., "The Effects of Interaural Crosstalk on Stereo Reproduction and Minimizing Interaural Crosstalk in Nearfield Monitoring by the use of a Physical Barrier; Part 1, Presented at the 81st Convention, 1986, Nov. 12-16, Los Angeles, CA, An Audio Engineering Society Preprint	
	CC	John Eargle, "Handbook of Recording Engineering," USBN0-442-0053-9, pages 258-259.	
	CD	B.B. BAUER, "PHASOR ANALYSIS OF SOME STEREOPHONIC PHENOMENA," J. Acous. Soc. Am., Vol. 33, No. 11. (Nov. 1961).	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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